

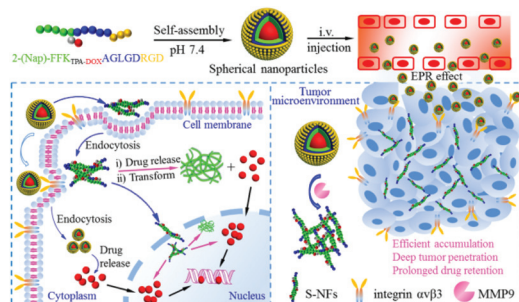
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1

A sequentially responsive and structure-transformable nanoparticle with a comprehensively improved 'CAPIR cascade' for an enhanced antitumor effect

Chenfeng Xu, Yu Sun, Yulin Yu, Mei Hu, Conglian Yang and Zhiping Zhang*

A sequentially responsive and structure-transformable nanoparticle was designed for comprehensively improving circulation, accumulation, penetration, internalization and release in drug delivery.



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A sequentially responsive and structure-transformable nanoparticle with a comprehensively improved 'CAPIR cascade' for an enhanced antitumor effect†

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Chenfeng Xu,^a Yu Sun,^b Yulin Yu,^a Mei Hu,^a Conglian Yang^a and Zhiping Zhang  ^{*a,c,d}

An intravenously administered drug delivery system should undergo a five-step 'CAPIR' cascade (circulation, accumulation, penetration, internalization and release), and the maximal efficiency of each step is of great importance to obtain the improved final therapeutic benefits and overall survival rate. Here, a pH/matrix metalloproteinase-9 (MMP9) sequentially responsive and continuously structure-transformable nanoparticle assembled from a doxorubicin (DOX)-conjugated peptide was exploited for comprehensively improving the 'CAPIR cascade' and eventually enhancing the therapeutic efficacy. The chimeric peptide can self-assemble into spherical nanoparticles (RGD-sNPs) at pH 7.4 with a particle size of 45.7 ± 5.4 nm. By a combination of passive and active targeting mechanisms, RGD-sNPs achieved efficient accumulation at the tumor site ($\sim 15.1\%$ ID g^{-1} within 24 h). Both *in vitro* and *in vivo* experiments revealed that RGD-sNPs can be transformed into rod-like nanoparticles (S-NFs) triggered by MMP9 that overexpressed in the tumor microenvironment, demonstrating remarkable advantages of deep tumor penetration, prolonged drug retention with $\sim 3.7\%$ ID g^{-1} at 96 h, and 2-fold enhanced internalization. Subsequently, S-NFs would respond to the intracellular weakly acidic stimuli to rapidly release DOX for induction of cytotoxicity and apoptosis. Meanwhile, the remaining peptide was further converted into long fibers (length >5 μm) with significant cytotoxicity, thereby exerting a synergistic antitumor effect. Thus RGD-sNPs displayed superior antitumor efficacy and extended the median survival period to 55 days. This provides a new horizon for the exploration of high-performance antitumor nanomedicines.

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1. Introduction

Nanoparticle-based drug delivery systems (DDSs) for cancer chemotherapy have always been considered as particularly promising and are advancing rapidly.^{1,2} However, the current clinical application of anticancer nanomedicines achieved no significantly enhanced therapeutic efficacy or only modest improvement in the overall survival rate of patients, in spite of certain advantages, including ameliorative pharmacokinetic properties and bioavailability, prolonged circulation time and

reduced side effects.^{3–6} For instance, Doxil®, a clinically applied pegylated liposomal doxorubicin (DOX), has shown an extended systemic circulation time of more than 30 h and considerable accumulation in the tumor region. Unfortunately, such improvements can only mitigate the dose-dependent adverse effects but fail to enhance the curative effect of DOX. It was further revealed that a large quantity of Doxil® was distributed around the periphery of blood vessels.^{3,7} The result, unsurprisingly, is poor tumor penetration, let alone inefficient cellular uptake and slow intracellular drug release.^{3,8,9} Thus, the rational design of cancer nanomedicines with more efficient therapeutic benefits is still an urgent demand.

It is generally accepted that the ultimate aim of drug delivery is to specifically transport the medicaments to target cells or organelles, and eventually exert their pharmacological activities as active drugs.¹⁰ Admittedly, the localized DDSs which are directly implanted into the tumor tissue can indeed achieve high and persistent local drug concentration and decreased total dosage.¹¹ Nevertheless, the application of local carriers is strictly limited due to the difficulty of proper

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1 implantation in the confined tumor region as well as increased
operative trauma and medical risk.^{12,13} In addition, traditional
5 **Q5** topical embedding administration has also to be confronted
with the aforementioned conundrums including deep penetra-
tion, efficient cellular uptake and controlled drug release.¹¹
As previously reported, a typical intravenously administered
DDS should undergo at least five successive steps: circulation
10 in the bloodstream and accumulation in the tumor region, fol-
lowed by penetration into the tumor tissue, internalization in
the tumor cells and, ultimately, intracellular drug release, a
'CAPIR cascade' for short.^{3,14,15} Obviously, it is of great impor-
tance to maximize the efficiency of each step for the improve-
ment of final therapeutic benefits.

15 **Q6** Recently, peptides and their associated DDS, such as
peptide vaccination, nanoparticles, nanofibers, *etc.*, have
experienced profound and rapid development. Among them,
peptide-drug conjugates provided an attractive strategy for
anticancer drug delivery because of the merits of high drug
20 loading, intrinsic low toxicity, simplicity and chemical
versatility.^{16–20} To achieve the desired characteristics, many
candidate peptides with different functions, including biologi-
cal recognition, cell penetration and stimuli-responsive pro-
perties, can be cleverly selected for the exploration of peptide-
based DDSs.^{21–23} Moreover, tailor-made peptide-based pro-
25 drugs can self-assemble into unique nanostructures.
Generally, nanoparticles with different structures or geometri-
cal shapes display diverse functions and characteristics. Non-
spherical (such as disc-like or rod-shaped) nanoparticles have
been reported to show advantages of accelerated internaliza-
30 tion and deep penetration over size-matched spheres, appar-
ently due to the shortest diameter (the width of the disc or
rod) of nanoparticles.^{24–26} In addition, particles with large
enough length (the longest dimension of the disc or rod), such
as a nanofiber or nanogel (>1 μm), would exhibit a prolonged
35 retention time in the tumor site and probably synergistic anti-
tumor efficacy.^{26,27} This kind of particle, unfortunately, would
be readily cleared by the reticuloendothelial system (RES) and
mononuclear macrophage system (MPS) after intravenous
administration.²⁸ With the development of supramolecular
40 chemistry, chimeric peptide-based structure-transformable
and *in situ* self-assembled prodrug nanocarriers open a new
avenue for the design of DDSs with a comprehensively
improved 'CAPIR cascade'.^{12,29–33}

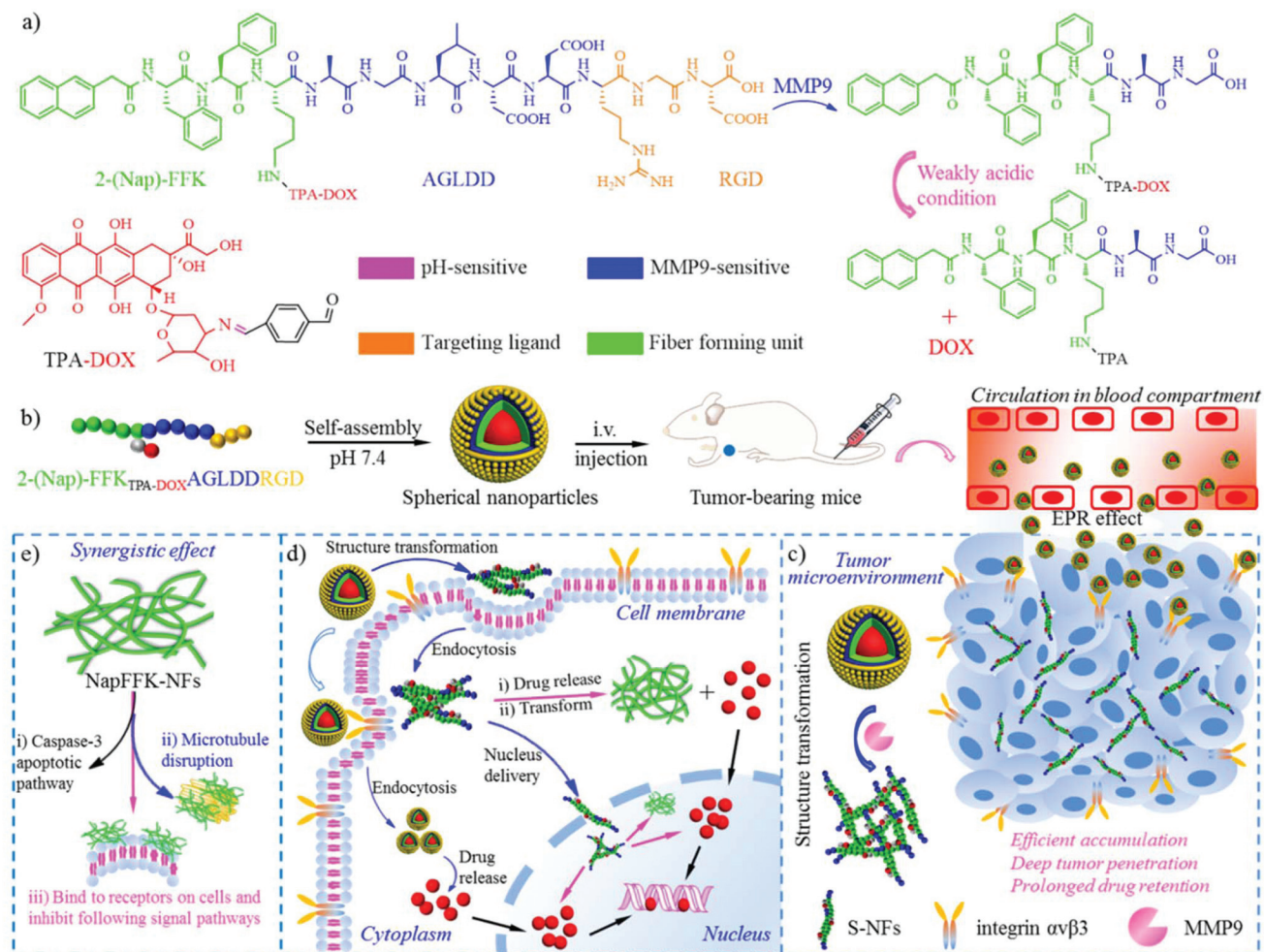
45 Herein, we exploited a pH/matrix metalloproteinase-9
(MMP9) sequentially responsive and continuously structure-
transformable nanoparticle assembled from a DOX-conjugated
peptide, with advantages of tumor targeting delivery, pro-
longed drug retention, deep tissue penetration, accelerated
50 internalization and rapid intracellular drug release, aiming at
improving the final therapeutic efficacy and extending the
overall survival rate (Scheme 1). The responsive peptide-DOX
conjugate 2-(Nap)-FFK_{TPA-DOX}AGLDDRGD, compound 1) and
the control 2-(Nap)-FFK_{TPA-DOX}WGLWDRGD, compound 2)
55 were synthesized, purified and identified systematically. Arg-
Gly-Asp (RGD) is popularly regarded as a targeting ligand that
specifically recognizes the integrin $\alpha\text{v}\beta\text{3}$ receptor that is over-

expressed on the surface of various cancer cells.^{12,34} Ala-Gly-
1 Leu-Asp-Asp (AGLDD) served as a MMP9 responsive linker,
and 2-naphthylacetic acid-Phe-Phe-Lys (2-(Nap)-FFK) was
inclined to assemble into stable fibrous structures *via* inter-
5 molecular hydrogen bonds.^{18,30,35} DOX was coupled to a
peptide skeleton *via* a pH-responsive hydrazone bond using
4-formylbenzoic acid (TPA) as a linker. Compounds 1 and 2
can both self-assemble into nanoparticles (RGD-sNPs and
RGD-nNPs, respectively) in pH 7.4 phosphate buffer solution
10 (PBS) with a spherical form, relatively small size (<50 nm)
and slightly negative charge (~ -10 mV). These features are ben-
eficial for the acquisition of long circulation in the blood and
the avoidance of the formation of a protein corona.³⁶ Initially,
RGD-sNPs efficiently extravasated and accumulated at the
15 tumor site through a combination of an enhanced per-
meability and retention (EPR) effect and a RGD-mediated
active targeting mechanism. Thereafter, MMP9, which has
been proved to be highly expressed in the tumor stroma, will
selectively cleave the AGLDD linker, leading to the structural
20 transformation from spherical nanoparticles into rod-like
nanoparticles (S-NFs, with an average diameter of 18 ± 1.6 nm
and length of 225.7 ± 10.2 nm). It was permeable in the tumor
microenvironment and easily internalized by cells as well as
freely accessible to the nucleus, due to the very small diameter
25 and suitable length. Finally, in the intracellular weakly acidic
environment, active DOX would be quickly released for sub-
sequent induction of cytotoxicity and apoptosis. The remain-
ing peptide 2-(Nap)-FFK_{TPA}AG was gradually converted into
long fibers (NapFFK-NFs, length >5 μm) with significant cyto-
30 toxicity, thereby exerting a synergistic antineoplastic effect by
the caspase-3 apoptotic pathway and promiscuous interaction
with microtubules as well as by a competitive combination
with receptors on the surface of cells for the inhibition of
35 downstream signal pathways.^{18,27,30,33}

2. Experimental methods

2.1. Materials

40 2-(Nap)-FFKAGLDD-RGD (peptide 1, high resolution mass
spectrometry (HRMS, ESI) m/z : $([M + 2H]^{2+})$ calcd for
 $\text{C}_{67}\text{H}_{89}\text{N}_{15}\text{O}_{19}$, 705.25; found 705.38. Purity: 94.76%, Fig. S1
and S2†) and 2-(Nap)-FFKWGLWD-RGD (peptide 2, HRMS
45 (ESI) m/z : $([M + H]^+)$ calcd for $\text{C}_{82}\text{H}_{99}\text{N}_{17}\text{O}_{17}$, 1594.8237; found
1594.8430. Purity: 93.37%, Fig. S3 and S4†) were obtained
from Wuhan Bioearegene Biosciences Co., Ltd. Doxorubicin
hydrochloride (DOX-HCl) was purchased from Beijing Huafeng
United Technology Co., China. Trifluoroacetic acid (TFA), 4%
50 polyoxymethylene (PFA), 1-ethyl-3-(3-dimethylaminopropyl)
carbodiimide hydrochloride (EDC-HCl), *N*-hydroxysuccinimide
(NHS), TPA, anhydrous dimethylformamide (DMF) and
*d*₆-dimethyl sulfoxide (*d*₆-DMSO) were purchased from Aladdin
(Shanghai, China). Cy7.5-NHS was purchased from Xi'an Ruixi
55 Biological Technology Co., Ltd. The MMP9 enzyme and 4-amino-
phenylmercuric acetate (APMA) were both purchased from
Sino Biological Inc., Beijing. Phenylmethanesulfonyl fluoride



Scheme 1 Illustration of an improved 'CAPRI cascade' and an enhanced antitumor effect through a sequentially responsive and structure-transformable nanoparticle. (a) Chemical structure change of 2-(Nap)-FFK_{TPA-DOX}AGLDDRGD (compound 1) triggered by MMP9 and subsequently by a weak acid (pH 5.5). (b) The self-assembly of compound 1 into spherical nanoparticles (RGD-sNPs), which were then intravenously administered into tumor-bearing mice and circulated for a long enough period of time in the blood compartment. (c) By a combination of the EPR effect and RGD-mediated active targeting mechanisms, RGD-sNPs can be efficiently accumulated in the tumor site. The gathered nanoparticles would be transformed into rod-like nanoparticles (S-NFs) with a suitable diameter and length due to the overexpressed MMP9 in the tumor microenvironment, resulting in deep tissue penetration, prolonged retention time and enhanced cellular uptake. (d) S-NFs were easily internalized by the cells, and DOX was rapidly released under weakly acidic conditions, for subsequent induction of cytotoxicity and apoptosis. The remaining 2-(Nap)-FFK_{TPA-AG} would be converted into long fibers (NapFFK-NFs, length >5 μm) with cytotoxicity. With the diameter of S-NFs smaller than the size of nucleopores, they have free access to the nuclear cavity, leading to high drug accumulation in the nucleus and inhibition of drug efflux. (e) The synergistic antitumor effect of NapFFK-NFs. It can cause apoptosis by the caspase-3 apoptotic pathway (i) and promiscuous interaction with microtubules (ii), and competitive combination with receptors on the surface of cells and subsequent inhibition of downstream signal pathways (iii).

(PMSF), 3-(4,5-dimethyl-thiazol-2-yl)-2,5-diphenyl tetrazolium bromide (MTT) and 4',6-diamidino-2-phenylindole (DAPI) were purchased from Biosharp, South Korea. The RPMI 1640 medium, DMEM, penicillin-streptomycin, fetal bovine serum (FBS) and 0.25% trypsin without EDTA were purchased from Hyclone, GE Healthcare Life Sciences, USA. Alanine aminotransferase assay kits (ALT), aspartate aminotransferase assay kits (AST), creatinine assay kit (CRE), lactate dehydrogenase assay kit (LDH) and urea assay kit (BUN) were all purchased from Nanjing Jiancheng Bioengineering Institute, China. Other reagents were all obtained from Sinopharm Chemical Reagent Co. Ltd, Shanghai, China and were of analytical grade.

2.2. Cell culture

MCF-7/ADR and MCF-7 cells were obtained from Shanghai Institute of Medicine of Chinese Academy of Medical Sciences. Melanoma B16F10, Lewis lung carcinoma (LLC) and triple negative breast cancer (4T1) cells were purchased from the Chinese Academy of Sciences Cell Bank of China. HepG2 and H22 cells were kindly provided by Dr Guangya Xiang (Huazhong University of Science and Technology, HUST, China). The HepG2, B16F10, LLC and MCF-7 cells were all cultured in DMEM with 10% FBS, 100 U mL⁻¹ penicillin and 100 μg mL⁻¹ streptomycin, and maintained at 37 °C under a

humidified atmosphere of 90% in a 5% CO₂ incubator (Panasonic, Japan). The 4T1 cells were cultured in the complete RPMI 1640 medium under similar standard conditions. The MCF-7/ADR cells were cultured in the complete RPMI 1640 medium with the addition of 1 μg mL⁻¹ DOX.

2.3. Animals

BALB/c mice (female 16–18 g) and Kunming mice (18–20 g) were both purchased from the Disease Control and Prevention Center of HUST. All animal experiments were approved by the Institutional Animal Care and Use Committee of HUST, and carried out according to the regulations of Chinese law and the local ethical committee.

2.4. Synthesis and characterization of conjugates

Compound 1 and compound 2 were both synthesized through a NHS ester activated carboxylic acid route (Fig. S5 and S6†).³⁷ TPA was firstly activated by NHS as previously reported (TPA-NHS), and then reacted with peptides 1 and 2 to obtain 2-(Nap)-FFK_{TPA}AGLDD-RGD and 2-(Nap)-FFK_{TPA}WDLWD-RGD, respectively.³⁸ Briefly, the peptide (1 eq.) and trimethylamine (1 eq.) were dissolved in DMSO in a vial, and then TPA-NHS (1.05 eq.) dissolved in DMSO was added dropwise into the peptide solution under stirring. After the reaction, the mixture was dialyzed against deionized water for 24 h, and subsequently lyophilized. Next, the TPA activated peptide was reacted with DOX (2 eq.) in DMF for 24 h at room temperature (RT). When the reaction was completed, the mixture was concentrated and the residue was redissolved in methanol. The crude product was purified by using a semi-preparative reverse-phase HPLC system (Agilent, 1100 series, USA) using an octadecylsilyl (ODS-A) 5 μm semi-preparative column (250 × 10 mm; Welch, Ultimate®). A gradient elution method (acetonitrile and water containing 0.1% TFA) was applied with a flow rate of 2 mL min⁻¹. The purity of compounds 1 and 2 was confirmed using analytical HPLC with a fluorescence detector at 470 nm/585 nm (ex/em). The analytical condition of HPLC is described as follows. A fluorescence detector was employed and the detection wavelength was set as 470 nm/585 nm (ex/em). A Kromasil ODS-SP C18 column (100-5-C18, 5 μm, 150 mm × 4.6 mm) was used and the column temperature was set at 30 °C. The mobile phase consisted of acetonitrile : water containing 0.1% TFA (25 : 75, v/v) and the flow rate was set as 1.0 mL min⁻¹.

The Cy7.5 labeled peptide was synthesized by a similar method. In brief, the peptide and Cy7.5-NHS (1.1 eq.) were dissolved in anhydrous DMF and reacted in the dark at 4 °C for 24 h. After the reaction was completed, the solvent was removed and the residue was precipitated with diethyl ether. The product was then collected by centrifugation, washed with diethyl ether and dried in a vacuum drying oven.

2.5. Preparation and characterization of nanoparticles

Nanoparticles self-assembled from compounds 1 and 2 (RGD-sNPs and RGD-nNPs, respectively) in a neutral environment (pH 7.4, 1 M PBS) were prepared by a modified rapid precipi-

tation method.³⁹ 20 μL of the conjugate solution (DMSO, 50 mg mL⁻¹) was rapidly injected into 980 μL PBS under stirring (800 rpm) in the dark at RT, and the assembly occurred spontaneously. DMSO was removed by dialysis and the prepared nanoparticles were stored airtight and light-free at 4 °C. Cy7.5 labeled nanoparticles (Cy7.5-RGD-sNPs and Cy7.5-RGD-nNPs, respectively) were prepared by a similar method. These nanoparticles were characterized and determined by particle size and zeta potential using dynamic light scattering (DLS) along with transmission electron microscopy (TEM). To prepare TEM samples, 10 μL of freshly prepared nanoparticle suspension was dropped onto the surface of carbon-coated copper grids. The liquid was removed with filter paper after 15 min. The samples were stained with 1% phosphotungstic acid solution for 5 min, and then observed with a Tecnai G2 F20 TWIN TEM. The critical aggregation concentration (CAC) values were then evaluated by a pyrene probe method as reported.³⁰

2.6. Verification of the structural transformation

To verify the MMP9 triggered structural transformation, freshly prepared nanoparticles (1 mg mL⁻¹, 1 mL) were dispersed and incubated at 37 °C with pH 6.8 PBS containing 50 ng mL⁻¹ MMP9 and 1 μL of APMA. The reaction was terminated by the addition of the same volume of methanol after 2 h or 12 h of incubation, and then the reaction mixture was extracted with chloroform (3 mL × 2). The organic solvent was evaporated and the residue was redissolved in methanol for HPLC analysis as described above. At the predetermined time-point of 0.5, 1, 2, 4, 8 and 12 h, 10 μL of treated nanoparticle suspension was dropped onto the surface of carbon-coated copper grids for TEM analysis as well. To determine the selective cutting site, after 12 h of incubation, the reaction mixture was centrifuged at 12 000 rpm for 15 min, and washed with deionized water 2 times, followed by lyophilization for HRMS analysis. After 12 h of treatment, the precipitate was collected and dispersed with pH 5.5 PBS to estimate the pH-responsive structural transformation by similar assessment methods as mentioned above.

2.7. *In vitro* drug release

The *in vitro* DOX release was surveyed by a modified dialysis method. In brief, 2 mL of nano-preparation containing 1 mg of DOX was added into a dialysis bag (MWCO of 3.5 kDa), and then placed in 30 mL of the release medium (1 M PBS containing 0.1% Tween80) at pH 7.4, 6.8 and 5.5. The mixture was kept at 37 °C and shaken at 70–80 rpm. At designed time points, 5 mL of the solution was withdrawn and an equal volume of fresh buffer solution was added. DOX was extracted with chloroform, concentrated, redissolved in methanol, and measured by HPLC as described above.

2.8. *In vitro* cellular uptake

The cellular uptake of nanoparticles or nanofibers was estimated in HepG2 cells and 4T1 cells. Briefly, the cells were seeded in confocal microscopy dishes at a density of 5.0 × 10⁴ cells per well and incubated overnight. Afterwards, free DOX,

1 RGD-nNPs, RGD-sNPs or pretreated RGD-sNPs (RGD-sNPs pre-
2 treated with MMP9 for 12 h) were separately added into the
3 dish at a DOX concentration of $2 \mu\text{g mL}^{-1}$, followed by 4 h or
4 24 h of incubation. The medium was then removed and the
5 cells were washed with ice-cold PBS (1 M, pH 7.4) three times
6 and subsequently fixed with 4% PFA for 15 min. Next, the cells
7 were washed thrice with ice-cold PBS (1 M, pH 7.4) and stained
8 with $20 \mu\text{L}$ of DAPI ($100 \mu\text{g mL}^{-1}$) for 10 min at 37°C . The
9 cells were then washed thrice and observed by using a confocal
10 laser scanning microscope (CLSM, Zeiss 710 META, Germany).

11 For the quantitative analysis of cellular uptake, the cells
12 were seeded into a 6-well plate at a density of 1×10^5 cells per
13 well, followed by incubation overnight. The cells were treated
14 by using similar methods as above described (except at a DOX
15 concentration of $10 \mu\text{g mL}^{-1}$), then digested with trypsin, har-
16 vested, re-suspended in PBS and determined using a flow cyt-
17 ometry (BD FACSCalibur, USA). The DOX content in the cells
18 was also determined by HPLC assay and calculated according
19 to the following formula: $\text{DOX content } (\mu\text{g mg}^{-1}) = Q_{\text{DOX}}/Q_{\text{cell protein}}$,
20 where Q_{DOX} and $Q_{\text{cell protein}}$ are the content of DOX and
21 the total cell protein, respectively. At the end of the experi-
22 ment, the cells were washed three times with cold PBS and
23 then lysed with $200 \mu\text{L}$ of cell lysis buffer containing PMSF.
24 The cell lysate was centrifuged at 12 000 rpm for 10 min.
25 $100 \mu\text{L}$ of the supernatant was extracted with chloroform, evap-
26 orated and re-dissolved in methanol for HPLC analysis. $20 \mu\text{L}$
27 of the supernatant was used for BCA assay.

2.9. Bio-TEM of cells

28 When incubated with pretreated RGD-sNPs (MMP9 for 12 h)
29 for 2 h or 4 h, the cells were fixed with 2.5% glutaraldehyde at
30 4°C for 15 min, and then separated with a cell scraper, fol-
31 lowed by centrifugation for 5 min at 3000 rpm and storage at
32 4°C with a fixative for at least 4 h. The cell pellet was then
33 washed thrice with 0.1 M PBS (pH 7.4), each time for 15 min.
34 Next, the cell pellet was incubated with 0.1 M PBS containing
35 1% osmium tetroxide for 2 h at room temperature, and sub-
36 sequently washed with 0.1 M PBS (pH 7.4) three times as men-
37 tioned above and dehydrated with a serial gradient of 30%,
38 50%, 70%, 80%, 85%, 90%, 95% and 100% ethanol
39 (10–15 min per time). Thereafter, it was successively infiltrated
40 with a mixture of ethanol and EPON 812 resin three times at
41 different ratios of 2:1 and 1:1, and pure EPON 812 resin. The
42 experimental temperature was set at 37°C and each time for
43 8–12 h. The sample was polymerized for 48 h at 60°C and then
44 cut into ultrathin sections with a thickness of 80–100 nm by
45 using a microtome (Leica, EM UC7, Germany). The section was
46 stained with a U-Pb double staining method (1% osmic acid for
47 1 h and 4% uranyl acetate for 15 min, respectively). After drying
48 overnight, the sample was observed by using a TEM (Tecnai G2
49 F20 TWIN, FEI, USA) at an acceleration voltage of 200 kV.

2.10. *In vitro* cytotoxicity assay

50 The *in vitro* cytotoxicity was evaluated in HepG2, MCF-7, 4T1,
51 MCF-7/ADR and H22 cell lines. In brief, the cells were seeded
52 at a density of 5.0×10^3 cells per well in 96-well plates. After

53 24 h of incubation, the cells were treated with free DOX, RGD-
54 nNPs, RGD-sNPs, pretreated RGD-sNPs (RGD-sNPs pretreated
55 with MMP9 for 12 h) and pretreated 2-(Nap)-FFKAGLDD-RGD
(peptide 1, NapFFK-RGD, in a similar molar ratio of DOX, pre-
treated with MMP9 for 12 h) at an equivalent concentration of
DOX ranging from 0.1 to $10 \mu\text{g mL}^{-1}$ for another 24 h or 48 h,
followed by the addition of $20 \mu\text{L}$ of the MTT solution
(5 mg mL^{-1}). Four hours later, the medium was removed and
 $150 \mu\text{L}$ of DMSO was then added. The absorbance values were
determined at 570 nm by using a microplate reader (Thermo
Scientific, USA), and the half maximal inhibitory concentration
(IC_{50}) was also calculated using SPSS15 software.

2.11. *In vitro* tumor penetration in multicellular tumor spheroids (MCTs)

56 The MCTs of both HepG2 and 4T1 cells were developed by a
57 liquid overlay method.⁴⁰ In brief, each well of a 96-well plate
58 was previously coated with $80 \mu\text{L}$ of sterile 1% (w/v) agarose in
59 1 M PBS to generate a non-adherent surface. $200 \mu\text{L}$ of HepG2
60 cell (2×10^3 cells per well) or 4T1 cell (5×10^3 cells per well)
61 suspensions were seeded into each well, and then maintained
62 in a 37°C humidified incubator with 5% CO_2 for 6–8 days
63 until the diameter of the MCTs reached 400–500 μm . The
64 uniform and compact MCTs were selected and carefully trans-
65 ferred to a confocal disk for further study.

66 The selected MCTs were incubated with free DOX, RGD-
67 nNPs, pretreated RGD-sNPs (RGD-sNPs pretreated with MMP9
68 for 12 h) and untreated RGD-sNPs at an equal DOX concen-
69 tration of $20 \mu\text{g mL}^{-1}$, respectively. After incubation, the MCTs
70 were washed thrice and fixed with 4% PFA for 30 min, and
71 subsequently washed and observed by CLSM using Z-stack
72 imaging from the top to the equatorial plane of the MCTs at
73 intervals of 20 μm .

2.12. The establishment of tumor models and the expression levels of MMP9 in different tumors

74 The MMP9 levels in different tumors were first determined. To
75 establish the S180 and H22 sarcoma models, $200 \mu\text{L}$ of cell
76 suspension in 0.9% NaCl (5×10^6 cells) was inoculated in the
77 right flank of female Kunming mice. The MCF-7/ADR tumor
78 model was constructed by subcutaneously inoculating the
79 flank region of female BALB/c nude mice with 5×10^6 cells in a
80 serum-free medium. In the LLC and B16F10 tumor models,
81 5×10^6 and 1×10^4 cells were subcutaneously injected in the
82 back of C57BL/6 mice, respectively. To set up the 4T1 *in situ*
83 tumor model, $100 \mu\text{L}$ of cell suspension in PBS was subcu-
84 taneously inoculated in the right mammary gland of BALB/c
85 mice. The tumor length (L) and width (W) were measured and
86 the tumor volume (V) was calculated with the following
87 formula: $V = L \times W^2/2$. When the tumor volume reached
88 200–300 mm^3 , the tumor was resected, rinsed with PBS and
89 fixed in 4% PFA for immunohistochemical (IHC) analysis.

2.13. *In vivo* imaging and drug retention

90 When the tumors reached 200–300 mm^3 , Cy7.5-RGD-nNPs or
91 Cy7.5-RGD-sNPs were administered *via* the tail vein at an equal

Cy7.5 dose of 100 μg per mice, respectively. At pre-determined time intervals, the mice were imaged by using an IVIS Lumina imaging system (Caliper, USA). At 96 h post injection, the tumors and main organs were collected, rinsed with PBS, weighed and imaged. The *in vivo* and *ex vivo* fluorescence intensities in the tumors and main organs were both analyzed by a region-of-interest method using Living Image Software.

To directly monitor the DOX retention in the tumor site, H22 tumor-bearing mice with a tumor volume of 200–300 mm^3 were intravenously injected with free DOX, RGD-nNPs and RGD-sNPs at a DOX dose of 5 mg kg^{-1} , respectively. At designed time points, mice were sacrificed and the tumors were resected, washed and weighed. The tumors were cut into pieces and then homogenized in PBS in an ice bath. DOX was extracted with a triple volume of chloroform/isopropylalcohol (1 : 1, v : v), and the organic phase was collected by centrifugation at 12 000 rpm for 15 min and evaporation. The DOX concentration was measured by HPLC as described above. % ID g^{-1} was calculated with the following equation: % ID $\text{g}^{-1} = Q_c / (Q_i \times Q_t) \times 100\%$, where Q_c , Q_i , and Q_t are the DOX content in the tumor site, the injected dose of DOX and the weight of the tumor, respectively. The retention of RGD-sNPs in the 4T1 tumor site was also evaluated. In addition, frozen section analysis was also performed to observe the DOX retention in the tumors at the end of the experiment.

The Bio-TEM of tumor tissues was performed according to a similar method as described above to evaluate the *in situ* formation of nanofibers mediated by MMP9.

2.14. *In vivo* tumor penetration

The *in vivo* tumor penetration of RGD-nNPs and RGD-sNPs was evaluated in both H22 and 4T1 tumor models relying on previously described methods.⁴⁰ When the tumor reached 200–300 mm^3 , RGD-nNPs or RGD-sNPs were intravenously administered at an equal DOX dose of 2.5 mg kg^{-1} . At 48 h post administration, the tumors were excised and rinsed with ice-cold PBS, followed by cryotomy. The frozen tumor sections were stained with the FITC-CD31 antibody, and subsequently observed and photographed under a fluorescence microscope. To further estimate the *in vivo* tumor penetration, RGD-nNPs or RGD-sNPs were intratumorally injected at an equally fixed depth of 3 mm under the surface of the tumors. At 48 h post-injection, the tumors were excised, washed and stored at $-80\text{ }^\circ\text{C}$ for further analysis. The frozen sections were performed at different layers from the top of the tumor to the middle by cryotomy, followed by DAPI staining for cell nuclei and observation by fluorescence microscopy.

2.15. *In vivo* antitumor efficacy

When the volume of the H22 tumor was around 50–70 mm^3 , the tumor-bearing mice were randomly divided into five groups ($n = 8$) and treated with saline, free DOX (i.v., 2.5 mg kg^{-1}), RGD-nNPs (i.v., 2.5 mg kg^{-1} for DOX), RGD-sNPs (i.v., 2.5 mg kg^{-1} for DOX) and NapFFK-RGD (i.v., at an equal molar amount of DOX, 6 mg kg^{-1}) 4 times at 3-day intervals. The tumor volumes, the general state of experimental mice and the

body weight were monitored every other day. At the end of the experiment, the mice were sacrificed. The blood samples, tumors and main organs were collected. Three of the tumors were collected and stored at $-80\text{ }^\circ\text{C}$, and the others were fixed in 4% PFA. The tumor inhibition ratio (TIR) was calculated with the following formula: $\text{TIR} (\%) = (W_s - W_e) / W_s \times 100\%$, where W_e is the mean tumor weight of the experimental groups and W_s is that of the saline group. The blood samples were centrifuged at 3000 rpm for 10 min and the plasma was then obtained and stored at $-20\text{ }^\circ\text{C}$ for safety evaluation. The hematological and biochemical parameters were determined by using the corresponding assay kits. The tumors and main organs were subjected to hematoxylin–eosin (H&E) staining, IHC analysis including terminal deoxynucleotidyl transferase mediated UTP end labeling (TUENL) and caspase-3 staining. The survival rate of H22 tumor-bearing mice was investigated in an independent study and the mice were divided into three groups ($n = 10$, free DOX, RGD-sNPs and RGD-nNPs). After treatment four times, the number of survived mice was counted. The *in vivo* antitumor efficacy was also determined in the 4T1 *in situ* tumor model by using similar methods ($n = 8$). The mice were divided into four groups ($n = 8$) and treated with saline, free DOX (i.v., 2.5 mg kg^{-1}), RGD-nNPs (i.v., 2.5 mg kg^{-1} for DOX) and RGD-sNPs (i.v., 2.5 mg kg^{-1} for DOX) 4 times at 3-day intervals.

2.16. Statistical analysis

The data were all shown as mean \pm SD. Statistical analysis was conducted through two-tailed Student's *t*-test or one-way ANOVA using SAS9.1 statistical software, with $*p < 0.05$ as statistically significant difference and with $**p < 0.01$ as extreme significance.

3. Results and discussion

3.1. Preparation and characterization of RGD-sNPs and RGD-nNPs

Compounds **1** and **2** were synthesized by firstly modifying the peptide (MMP9-sensitive peptide **1**: 2-(Nap)-FFKAGLDDRGD and MMP9 non-sensitive peptide **2**: 2-(Nap)-FFKWGLWGRGD) with TPA-NHS, which preferentially reacted with $-\text{NH}_2$ of lysine (Lys, K) in the peptide skeleton, and then reacting with DOX through the formation of a pH-sensitive hydrazine bond. The facile synthetic route is shown in Fig. S5 and S6.† The target products were purified by semi-preparative high pressure liquid chromatography and characterized by HPLC (Fig. S7†), and were determined to be more than 96%. HRMS of compound **1** (Fig. S8, ESI†) m/z : $[(M + H)^+]$ calcd for $\text{C}_{102}\text{H}_{120}\text{N}_{16}\text{O}_{31}$, 2067.1382; found 2067.3329. HRMS of compound **2** (Fig. S10, ESI†) m/z : $[(M + H)^+]$ calcd for $\text{C}_{117}\text{H}_{130}\text{N}_{18}\text{O}_{29}$, 2253.3873; found 2253.6764. The $^1\text{H-NMR}$ spectra of compounds **1** and **2** both showed characteristic peaks of DOX and peptide skeletons, as labelled in Fig. S9 and S11.† The above results indicated that compounds **1** and **2** were both successfully synthesized with high purity.

The CAC values of compounds 1 and 2 were further determined to estimate their self-assembly ability. The CAC values were $60.3 \mu\text{g mL}^{-1}$ and $51.4 \mu\text{g mL}^{-1}$, respectively, which would ensure the formation of stable nanoparticles.⁴¹ They can self-assemble into nanoparticles (RGD-sNPs and RGD-nNPs, respectively) in a neutral environment (pH 7.4, 1 M PBS) by a modified rapid precipitation method.³⁰ 20 μL of the conjugate solution (DMSO, 50 mg mL^{-1}) was rapidly injected into 980 μL PBS under stirring, and the assembly occurred automatically. This was mainly attributed to the intermolecular π - π stacking, strong hydrophobic interaction and J-type aggregation, which would facilitate a thermodynamically stable state with the lowest energy in the process of the self-assembly.⁴¹⁻⁴³ To further validate the formation of nanoparticles, the DLS and TEM measurements were performed (Fig. 1a and b). RGD-sNPs and RGD-nNPs both displayed a spherical shape with hydrodynamic diameters of $45.7 \pm 5.4 \text{ nm}$ and $41.2 \pm 9.8 \text{ nm}$, and with slightly negative charges of $-8.44 \pm 1.4 \text{ mV}$ and $-7.12 \pm 1.1 \text{ mV}$, respectively. The suitable size and surface charge can contribute to the passive targeting and long circulation of nanoparticles. It has been reported that nanoparticles with a size $>5 \text{ nm}$ and $<100 \text{ nm}$ can achieve considerable accumulation *via* an EPR effect, and simultaneously avoid renal clearance and RES capture.^{36,41} In addition, RGD-sNPs and RGD-nNPs both showed good physiological stability in PBS (pH 7.4) containing 10% FBS at $37 \text{ }^\circ\text{C}$ for 48 h (Fig. S12†).

3.2. Structural transformation of RGD-sNPs

To verify the structural transformation of RGD-sNPs, the freshly prepared nanoparticles were dispersed and incubated with pH 6.8 PBS containing 50 ng mL^{-1} MMP9 at $37 \text{ }^\circ\text{C}$ for different times. The enzymatic cleavage behavior and selective cutting site was firstly evaluated by HPLC and HRMS. As shown in Fig. 1d and Fig. S13,† the enzymatic responsive linker can be effectively broken by MMP9 and be completely digested within 12 h, generating the 2-(Nap)-FFK_{TPA-DOX}AG residue as evidenced by HRMS (ESI): m/z ($[\text{M} + \text{H}]^+$) calcd for $\text{C}_{76}\text{H}_{79}\text{N}_7\text{O}_{19}$, 1394.4760; found 1394.5464. Its chemical structure is displayed in Fig. S14.† DOX was also detected, but in lower quantities ($<10\%$), indicating that the formed hydrazone bond was relatively stable during the process of structural transformation at pH 6.8. The TEM, DLS and circular dichroism (CD) spectroscopy experiments were also carried out to investigate the morphological changes and secondary structure of the aggregates. As shown Fig. 1a, spherical nanoparticles were gradually transformed into rod-like nanoparticles (S-NFs) with an average diameter of $18 \pm 1.6 \text{ nm}$ and length of $225.7 \pm 10.2 \text{ nm}$, during the experimental time of 12 h. They were conducive to deep tissue penetration and accelerated internalization.²⁴⁻²⁶ The DLS data also showed that the particle size increased to $318.8 \pm 14.3 \text{ nm}$ after 12 h of treatment (Fig. 1b). RGD-nNPs had no enzyme responsiveness with any change in particle size and morphology when treated with MMP9 at the same concentration (Fig. 1a). The CD spectra of both RGD-sNPs and RGD-nNPs showed no evidence of a remarkable secondary structure. After 12 h of treatment with

MMP9, there was obvious H-bonding β -sheet structure formation regardless of the morphology, as evidenced by a positive peak at 196 nm and a negative one at 216 nm (Fig. 1c, Fig. S15†). Furthermore, RGD-sNPs incubated with MMP9 exhibited a nearly 12-fold stronger negative signal at 216 nm than that of RGD-nNPs, which probably revealed that there was more hydrogen bond formation in the fibrous morphology.^{30,44} The weak acid triggered shape transformation process of S-NFs and RGD-nNPs was then monitored. After continuous incubation in a pH 5.5 environment for 24 h, S-NFs were changed into longer fibers with a mean particle size of $>5 \mu\text{m}$ as confirmed by TEM and DLS (Fig. 1a and b). The characteristic signal of ordered β -sheet structure generation was still observed and was much stronger (Fig. 1c). However, the spherical structure of RGD-nNPs almost disappeared when they encountered a pH 5.5 environment within 24 h, and the particle size was undetectable with an extremely low count rate by DLS (Fig. 1a). The results were ascribed to the cleavage of a pH-sensitive hydrazine bond, which simultaneously demonstrated that the physical mixture of DOX and peptide 2 was incapable of assembling into ordered nanostructures, such as nanoparticles or others.

3.3. In vitro drug release

We further evaluated the pH-responsive drug release kinetics of RGD-nNPs, RGD-sNPs and S-NFs over time at pH 7.4, 6.8 and 5.5. As shown in Fig. 2a and b, only about 15% of DOX was released from RGD-nNPs and RGD-sNPs within 24 h, and approximately 20% was released within the experimental time of 96 h at pH 7.4. In a pH 6.8 environment, the cumulative DOX release was slightly increased up to 36% within 96 h in both RGD-nNPs and RGD-sNPs, but only around 26% in S-NFs. The results indicated that DOX release was relatively slow in fibrous morphologies, which may contribute to the stability of nanofibers in the tumor microenvironment. In contrast, nearly 40% of DOX was released in the initial 4 h and the cumulative release reached up to more than 80% within 24 h at pH 5.5. DOX was rapidly released from S-NFs at pH 5.5 as well, with around 70% cumulative DOX release within 48 h. The results demonstrated that the nano-preparation assembled by peptide-DOX prodrugs was relatively stable under physiological conditions and could quickly release DOX in a weakly acidic intracellular environment no matter in spherical or rod-like fibrous morphologies.

3.4. In vitro cellular uptake and cytotoxicity

The enhanced cellular uptake of nanofibers was then examined in HepG2 and 4T1 cell lines. As shown in Fig. 3a, free DOX could be easily internalized in HepG2 cells and subsequently enter the nucleus as confirmed by a strong red fluorescence signal in the nucleus at 4 h, and the signal became much stronger at 24 h. However, nanoparticles (both RGD-sNPs and RGD-nNPs) were mainly located in the cytoplasm 4 h post incubation, no matter pretreated with MMP9 or not (Fig. 2a, Fig. S16†). It may be attributed to the fact that nanoparticles were internalized by cells through the endocytic

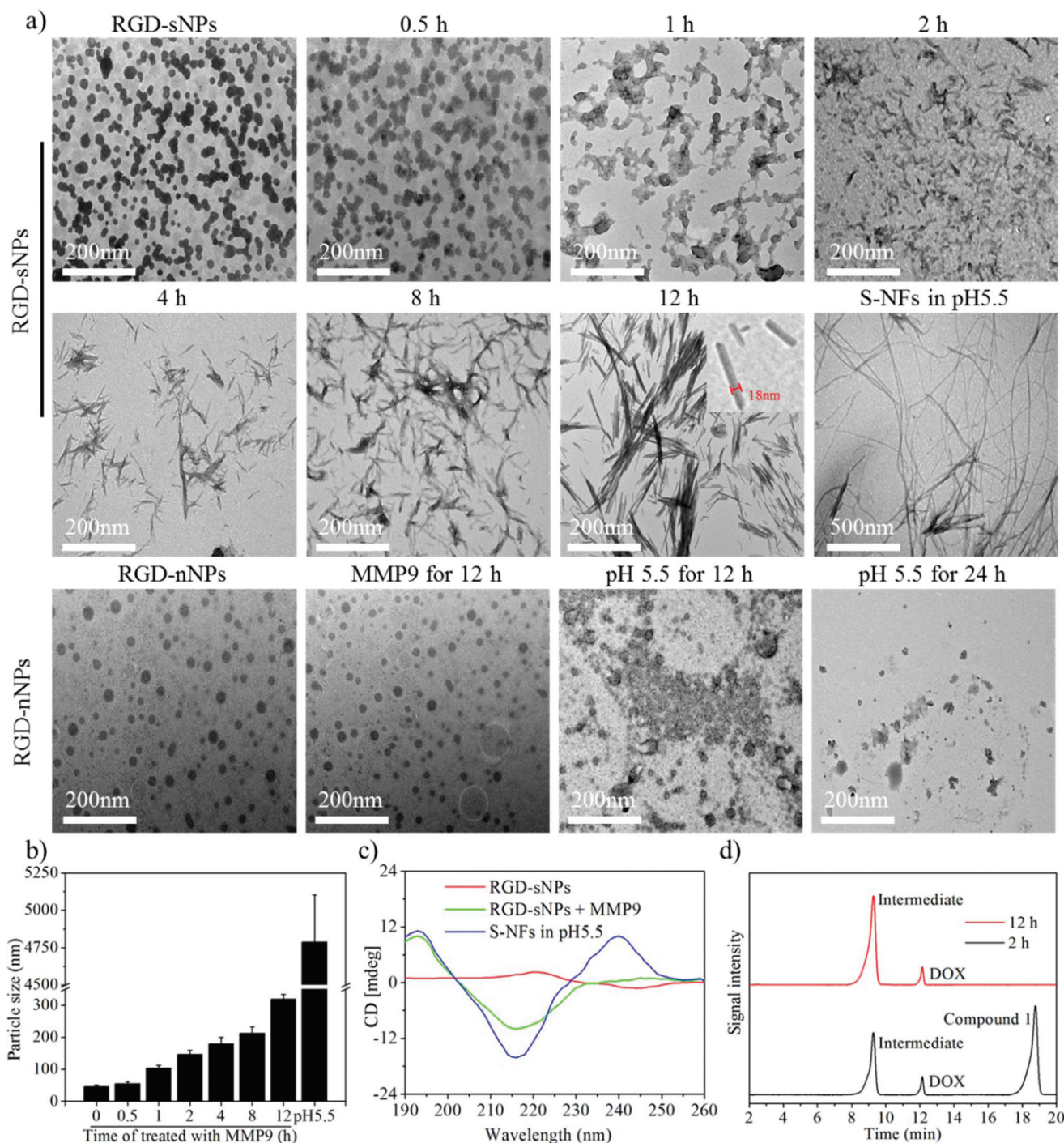


Fig. 1 The characterization of the spherical nanoparticles RGD-sNPs and RGD-nNPs under different conditions. (a) TEM images of RGD-sNPs treated with MMP9 for 0, 0.5, 1, 2, 4, 8, and 12 h, and of S-NFs in pH 5.5 PBS for 24 h. TEM images of RGD-nNPs under different conditions. (b) Particle size change of RGD-sNPs under different conditions. (c) CD spectra of RGD-sNPs, pretreated RGD-sNPs (pretreated MMP9 for 12 h) and S-NFs (incubated in pH 5.5 PBS for 24 h). (d) The HPLC spectra of RGD-sNPs treated with MMP9 for 2 h and 12 h, respectively.

pathway, and thus they must initially escape from the lysosome and then distribute in the whole cells. As displayed in Fig. 3b and Fig. S17,[†] rod-like nanoparticles with a length of about 300 nm were clearly observed in the intracellular vesicles or endosomes after 2 h or 4 h of incubation with pretreated RGD-

sNPs, suggesting that they were probably internalized by cells *via* endocytosis.¹⁷ At 24 h post co-incubation, a DOX fluorescence signal was widely observed in the cells, especially in the blue fluorescent nuclei, indicating that the nanoparticles had effectively escaped from the *endo*-lysosomes. Importantly,

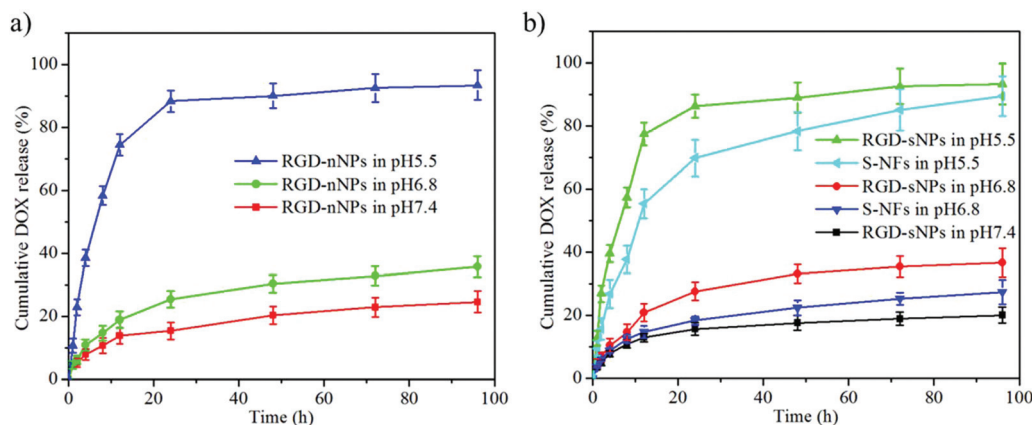


Fig. 2 Cumulative drug release of RGD-nNPs, RGD-sNPs and S-NFs. (a) Cumulative drug release of RGD-nNPs at pH 7.4, 6.8 and 5.5 ($n = 3$). (b) Cumulative drug release of RGD-sNPs at pH 7.4, 6.8 and 5.5, and of S-NFs at pH 6.8 and 5.5 ($n = 3$).

RGD-sNPs that were pretreated with MMP9 for 12 h (RGD-sNPs + MMP9 group) exhibited much higher intracellular fluorescence intensity in both the cytoplasm and nuclei, compared with that of unpretreated RGD-sNPs. Quantitative analysis by flow cytometry and HPLC substantiated that the RGD-sNPs + MMP9 group provided around 2-fold higher DOX accumulation in HepG2 cells compared to the unpretreated RGD-sNPs group (Fig. 3c). The increased intracellular accumulation suggested that rod-like nanoparticles (S-NFs) with a suitable size can indeed facilitate cellular uptake. In addition, the average diameter of the nucleopores was reported as ~ 39 nm, and thus the formed S-NFs can freely access the nuclear compartment by the way of passive diffusion, resulting in increased accumulation in the nucleus.^{45,46} A similar phenomenon was also observed in 4T1 cells (Fig. S18[†]). It is noteworthy that the relative DOX intensity calculated by flow cytometry was significantly higher than the relative DOX amount determined by HPLC at 4 h, but they were approximately equal at 24 h (Table 1). It was probably due to the delayed release of DOX. The experiment of Bio-TEM was performed to further validate the cellular uptake of nanofibers.

In parallel, the cytotoxicity of nanoparticles and nanofibers were evaluated in four cell lines including HepG2, 4T1, MCF-7, MCF-7/ADR and H22 cells. Free DOX showed the highest cytotoxicity against HepG2 cells after 48 h of treatment, with an IC_{50} value of $0.24 \mu\text{g mL}^{-1}$ (Fig. 3d and Table 2). Pretreated 2-(Nap)-FFKAGLDDRGD (NapFFK-RGD, pretreated with MMP9 for 12 h) showed modest cell killing ability on HepG2, 4T1, MCF-7 and H22 cells, and significantly higher cytotoxicity against drug-resistant MCF-7/ADR cells than that of free DOX. It has been reported that NapFFKAG (the residue cleaved from NapFFK-RGD treated with MMP9) can self-assemble into long nanofibers or nanogels (NapFFK-NFs, length $>5 \mu\text{m}$).^{35,47,48} More importantly, the formed NapFFK-NFs have an effect on the inhibition of tumor cell growth, migration and invasion.^{30,47,48} They can form a network like artificial extracellular matrix (AECM) on the surface of cells, resulting in the inhibition of cellular physiological activity.³⁰ In addition, they can

also induce cell apoptosis through the caspase-3 apoptotic pathway and promiscuous interaction with microtubules in cells.^{18,33,47} Compared to RGD-nNPs and untreated RGD-sNPs, pretreated RGD-sNPs (RGD-sNPs + MMP9 group) remarkably reduced the cell viability of experimental cell lines, even in drug-resistant MCF-7/ADR cells. The superior cytotoxicity can be explained by the enhanced cellular uptake and synergistic effect of NapFFK-NFs. Moreover, the transformed rod-like nanoparticles that have the ability to freely access the nucleus can be directly delivered into the nucleus and thus inhibit drug efflux, which may play a significant role in the decreased cell viability of drug-resistant MCF-7/ADR.⁴⁶

Finally, the cell viability of RGD-sNPs and RGD-nNPs against the normal cell line L929 was evaluated, and the IC_{50} values are shown in Table S1.[†] The results indicated that the nanoparticles assembled from both compounds 1 and 2 were non-sensitive to the normal cell line L929, and the IC_{50} values were extremely higher than that of cancer cells, revealing their safety to healthy cell lines and specific tumor cell killing ability.

3.5. *In vivo* imaging and drug retention

Rod-like nanoparticles have been reported to notably prolong the drug retention time in the tumor site.^{12,49} To investigate the prolonged drug retention and *in situ* structural reconstruction induced by MMP9, the expression level of MMP9 in various tumor types was firstly detected by the IHC analysis method. As depicted in Fig. S19,[†] MMP9 was overexpressed in B16F10 melanoma, H22 hepatoma and S180 sarcoma, but rarely expressed in the triple negative breast cancer (4T1), drug-resistant human breast cancer (MCF-7/ADR) and Lewis lung carcinoma (LLC) tumor models. Accordingly, H22 hepatoma was constructed to evaluate the targeting ability, retention and structure transformability of RGD-sNPs, with the 4T1 orthotopic tumor model as a control. Besides, Cy7.5-labeled RGD-sNPs (Cy7.5-RGD-sNPs) and RGD-nNPs (Cy7.5-RGD-nNPs) were prepared by a similar method and their *in vitro* morphological changes triggered by MMP9 were

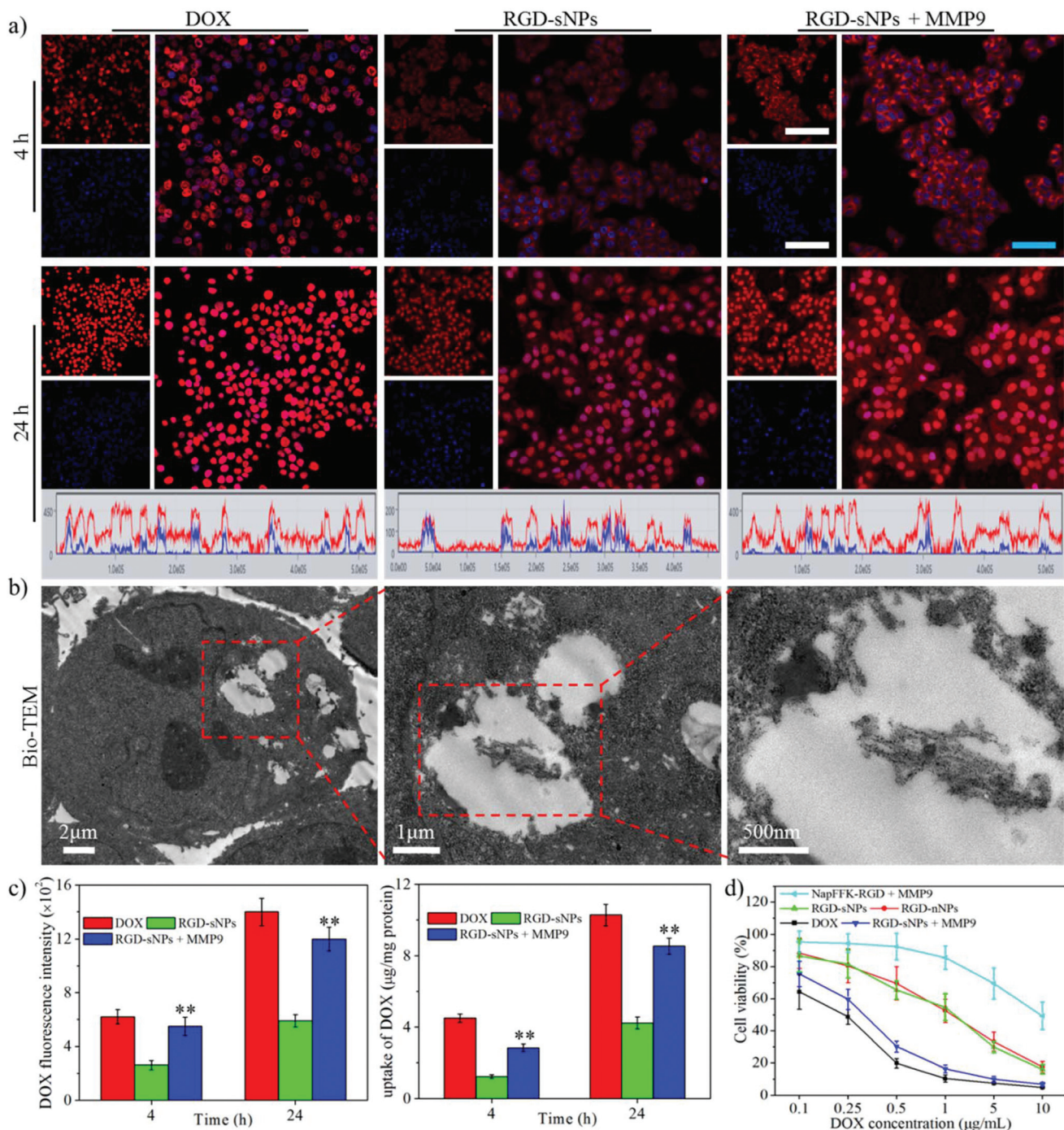


Fig. 3 The enhanced cellular uptake and cytotoxicity. (a) Confocal laser scanning microscopy (CLSM) images of cellular uptake in HepG2 cells incubated with free DOX, RGD-sNPs and pretreated RGD-sNPs (pretreated MMP9 for 12 h) for 4 h or 24 h (white scale bar for 100 μm and blue scale bar for 50 μm). The blue nuclei were stained with DAPI. (b) Bio-TEM images of HepG2 cells. Cells were incubated with pretreated RGD-sNPs (pretreated MMP9 for 12 h) for 4 h. (c) Quantitative analysis of DOX fluorescence intensity by flow cytometry and the amount of DOX internalized by HepG2 cells determined by HPLC ($n = 3$), $**p < 0.01$ vs. the RGD-sNPs group. (d) Cell viability of HepG2 cells after 48 h of incubation with free DOX, RGD-sNPs, RGD-sNPs, pretreated RGD-sNPs (pretreated MMP9 for 12 h), and pretreated NapFFK-RGD (MMP9 for 12 h).

also confirmed by TEM (Fig. S20[†]). The biodistribution of Cy7.5-RGD-sNPs and Cy7.5-RGD-nNPs injected intravenously into tumor-bearing mice was monitored by using an *in vivo* imaging system. The *in vivo* imaging results demonstrated that

both can be efficiently accumulated in the tumor region as a result of the combination of passive and active targeting mechanisms (Fig. 4a). Cy7.5-RGD-sNPs showed a relatively considerable accumulation at the H22 tumor site over 96 h

Table 1 The DOX intensity and DOX amount internalized in HepG2 cells ($n = 3$)

Groups	4 h		24 h	
	DOX intensity ^a	DOX amount ^b	DOX intensity	DOX amount
DOX	—	—	—	—
RGD-sNPs	0.42	0.27	0.43	0.41
RGD-sNPs + MMP9	0.89	0.63	0.86	0.83

^aThe DOX fluorescence intensity ratio of nanoparticles to free DOX.

^bThe uptake DOX amount ratio of nanoparticles to free DOX.

Table 2 The IC₅₀ values of DOX and nanoparticles against cancer cells after 48 h of treatment ($n = 6$)

Groups	IC ₅₀ values ^b (μg mL ⁻¹)				
	HepG2	4T1	MCF-7	MCF-7/ADR	H22
DOX	0.24	0.56	0.34	41.45	0.38
RGD-nNPs	1.37	2.04	1.78	68.45	2.14
RGD-sNPs	1.32	1.75	1.41	59.99	2.23
RGD-sNPs + MMP9 ^a	0.31**	0.78**	0.45**	2.74**	0.42**
NapFFK-RGD ^a	10.07	24.56	11.08	15.27	19.87

^aTreated with MMP9 for 12 h. ^bThe half maximal inhibitory concentration. ** $p < 0.01$ vs. the RGD-sNPs and RGD-nNPs groups.

with the maximum fluorescence intensity at 24 h post-injection (Fig. 4a). The long-term retention of Cy7.5-RGD-sNPs was probably ascribed to the structural transformation of nanoparticles into nanofibers triggered by high-level MMP9. As can be seen in Fig. 4b and Fig. S21,† *in situ* formed rod-like nanoparticles were clearly found in the H22 tumor tissue section after 24 h of administration of Cy7.5-RGD-sNPs. By contrast, the non-transformable Cy7.5-RGD-nNPs were almost entirely cleared from the tumors after 48 h, which was frequently observed for targeted polymeric nanoparticle accumulation and elimination in tumor-bearing mice.^{30,50} Similarly, a very weak fluorescence signal was observed at the tumor site in 4T1 tumor-bearing mice treated with Cy7.5-RGD-sNPs for 48 h (Fig. 4a). It was because of the low expression level of MMP9 leading to no or fewer rod-like nanoparticles formed in the tumors. Quantitative analysis of the fluorescence intensity at the tumor site showed a similar tendency and further provided evidence of the markedly extended retention time of *in situ* converted nanofibers (Fig. S22†). At 96 h post-administration, the tumors and main organs were harvested, rinsed and imaged. As shown in Fig. 4a, the strongest fluorescence signal was observed in the tumors resected from H22 tumor-bearing mice treated with Cy7.5-RGD-sNPs, and was significantly higher than that in normal tissues. Quantitative assessment indicated that Cy7.5-RGD-sNPs showed around 29-fold higher fluorescence in H22 tumors compared to that of Cy7.5-RGD-nNPs (Fig. 4c). Additionally, the intratumoral pharmacokinetics study of DOX was performed to continue exploring the

targeted accumulation and extended retention of transformable RGD-sNPs in the tumors. As shown in Fig. 5, free DOX achieved the highest drug concentration in the tumors at 4 h post-administration, and was rapidly eliminated due to its poor pharmacokinetic characteristics. The DOX amount in the tumor tissues of the nanoparticle groups was notably higher compared to free DOX during the experimental period, indicating that the biodistribution and retention of free DOX can be indeed optimized through a nano-enabled drug design method. Importantly, transformable RGD-sNPs were able to obtain the most drug accumulation and the longest retention time, with ~15.1% ID g⁻¹ at 24 h and even ~3.7% ID g⁻¹ at 96 h, respectively. The frozen section results showed that a strong red fluorescence signal was clearly seen and extensively distributed in the tumor tissue (Fig. 4d). These results were highly in line with those acquired by *in vivo* fluorescence imaging. Taken together, the above results revealed that the strategy of combining targeted nanoparticles and *in situ* transformed nanofibers can really achieve the preferred drug accumulation and prolonged retention time in the tumor than in normal organs.

3.6. *In vitro* and *in vivo* penetration of RGD-sNPs

To estimate the deep tissue penetration of *in situ* constructed nanofibers, the multicellular tumor spheroids (MCTs) were first established based on HepG2 and 4T1 cells to simulate solid tumors and monitor the penetration of transformed nanofibers. As shown in Fig. 6, at 8 h post incubation with pre-treated RGD-sNPs (pretreated with MMP9 for 12 h), bright DOX fluorescence spread throughout the great portion of HepG2 MCTs, and even at 140 μm from the surface to the central region of MCTs. It was confirmed to penetrate nearly 56% of MCTs that had a diameter of about 500 μm. However, the control groups (RGD-nNPs, untreated RGD-sNPs and free DOX) exhibited red fluorescence of DOX distribution surrounding the HepG2 MCTs, which became negligible at 80 μm depth. Such elevated tumor penetration of transformed nanofibers was also verified in 4T1 MCTs.

Afterwards, the *in vivo* tumor tissue penetration of RGD-sNPs was evaluated in H22 sarcoma and *in situ* 4T1 tumor-bearing mice. After 48 h post-i.v. administration, DOX delivered by RGD-nNPs was distributed around the periphery of blood vessels, as evidenced by the overlaid yellow fluorescence merged by FITC-CD31 and red DOX (Fig. 7a). By comparison, free DOX can be extravasated from the blood vessels and can diffuse to a certain distance (Fig. S23†). It has been proved that small molecule drugs were provided with moderate tumor penetration ability.^{30,52,53} Unfortunately, DOX with weak red fluorescence spots scattered in the tumor region, probably due to the nonspecific accumulation and rapid elimination of free DOX as previously confirmed.^{53,54} Importantly, transformable RGD-sNPs displayed bright and abundant DOX fluorescence in the H22 tumor tissue section, while faint fluorescence spots remained around the blood vessels in the 4T1 tumor site (Fig. 7a). In order to further verify the enhanced tumor penetration of nanofibers, free DOX and nanoparticles were intratumorally injected into tumor-bearing mice at a fixed depth. As

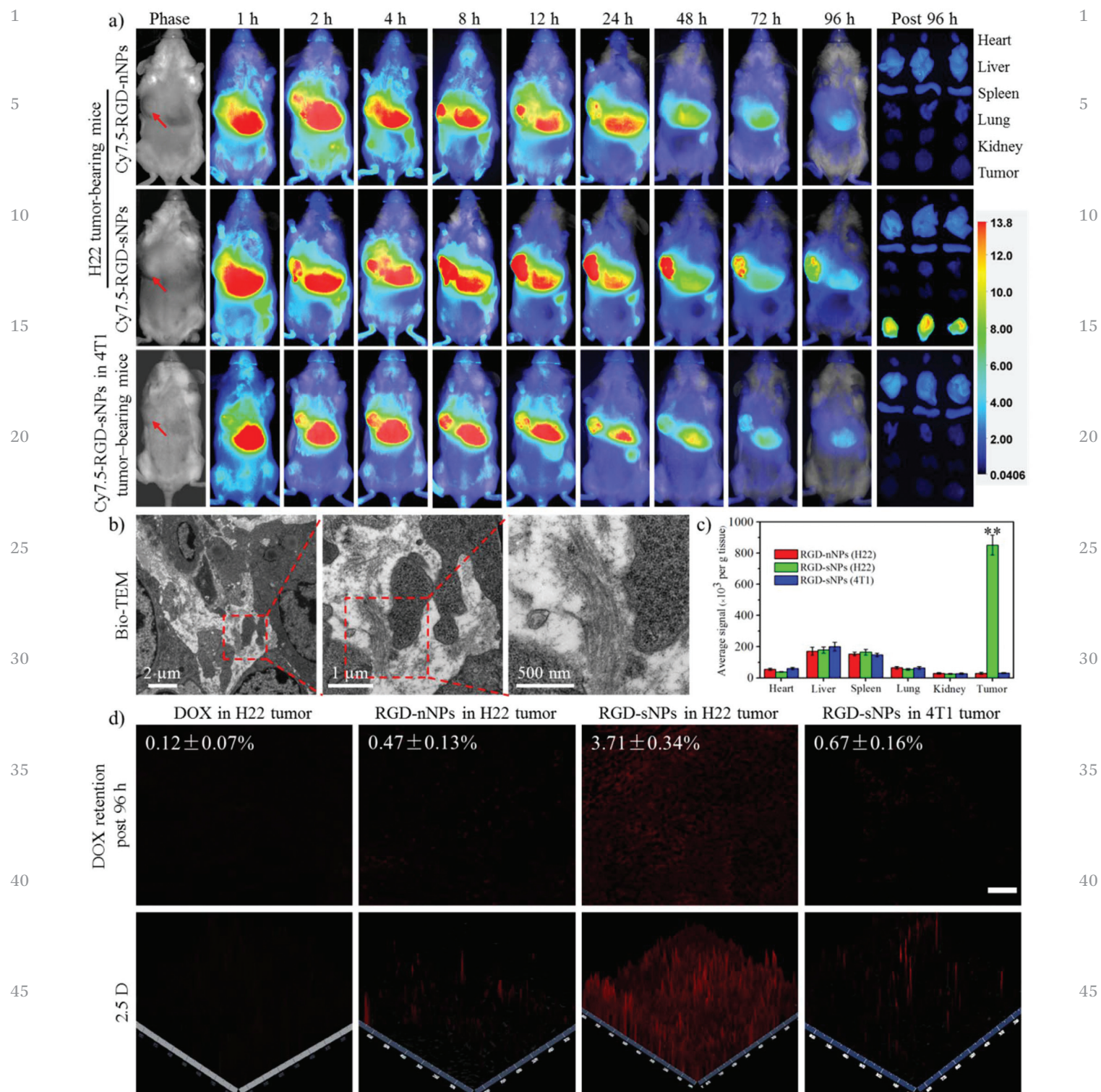


Fig. 4 The improved accumulation and long-term retention of transformable RGD-sNPs. (a) *In vivo* fluorescence imaging of H22 sarcoma and *in situ* 4T1 tumor-bearing mice, and *ex vivo* fluorescence imaging of tumors and normal tissues. The red arrows indicate the tumor regions. (b) Bio-TEM of the tumor tissue section. The tumor was resected from H22 tumor-bearing mice treated with RGD-sNPs for 24 h. (c) The average fluorescence intensity of the tumors and main organs ($n = 3$), $**p < 0.01$. Average signal (per g tissue) was calculated as the ratio of the total fluorescence intensity to the tissue weight. (d) CLSM examination of DOX retention at the tumor site (scale bar for 100 μm). The frozen sections were prepared using tumors collected from tumor-bearing mice after 96 h of intravenous injection. Mice were intravenously injected with free DOX, RGD-nNPs or RGD-sNPs at a DOX concentration of 5 mg kg^{-1} . The white numbers indicate the DOX amount (DOX/tumor (% ID g^{-1})) in tumors 96 h post i.v. injection, as calculated by HPLC ($n = 3$). DOX/tumor (% ID g^{-1}) is the ratio of the DOX amount per gram tumor to the total injected dose.

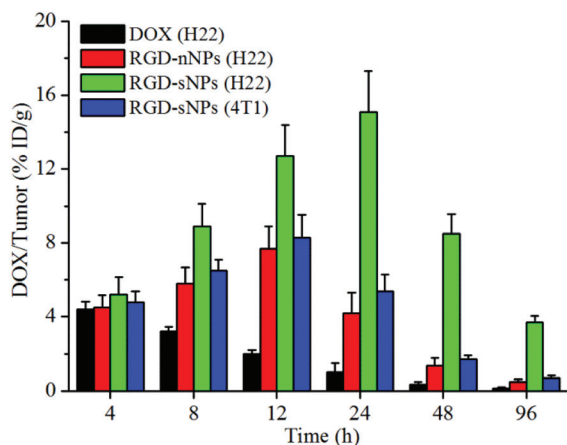


Fig. 5 The DOX concentration in tumors collected from tumor-bearing mice treated with free DOX, RGD-nNPs or RGD-sNPs at a DOX dose of 5 mg kg^{-1} . DOX/tumor ($\% \text{ ID g}^{-1}$) is the ratio of the DOX amount per gram tumor to the total injected dose ($n = 3$).

shown in Fig. 7b, transformable RGD-sNPs achieved superior tumor penetration ability with widespread distribution of strong red fluorescence in H22 tumors, even at a depth up to $2000 \mu\text{m}$ below the injection position, whereas obviously weak DOX fluorescence was detected in the control groups with a depth of $250 \mu\text{m}$ (Fig. 7b, Fig. S24[†]). These were highly in accordance with the experimental results obtained by *in vitro* MCTs and intravenous administration. Collectively, drug delivery by an approach of *in situ* transformation of nanoparticles into nanofibers actually boosted deep tumor penetration.

3.7. *In vivo* antitumor efficacy

The antitumor efficacy of RGD-sNPs was evaluated in both H22 sarcoma and *in situ* 4T1 cancer models. Following a tumor volume of $\sim 50\text{--}70 \text{ mm}^3$, saline ($200 \mu\text{L}$), free DOX (2.5 mg kg^{-1}), RGD-nNPs (2.5 mg kg^{-1} for DOX), RGD-sNPs (2.5 mg kg^{-1} for DOX) and NapFFK-RGD (6 mg kg^{-1} , equimolar amount with DOX) were administered intravenously four times at 3-day intervals, respectively. In the H22 sarcoma model, the saline

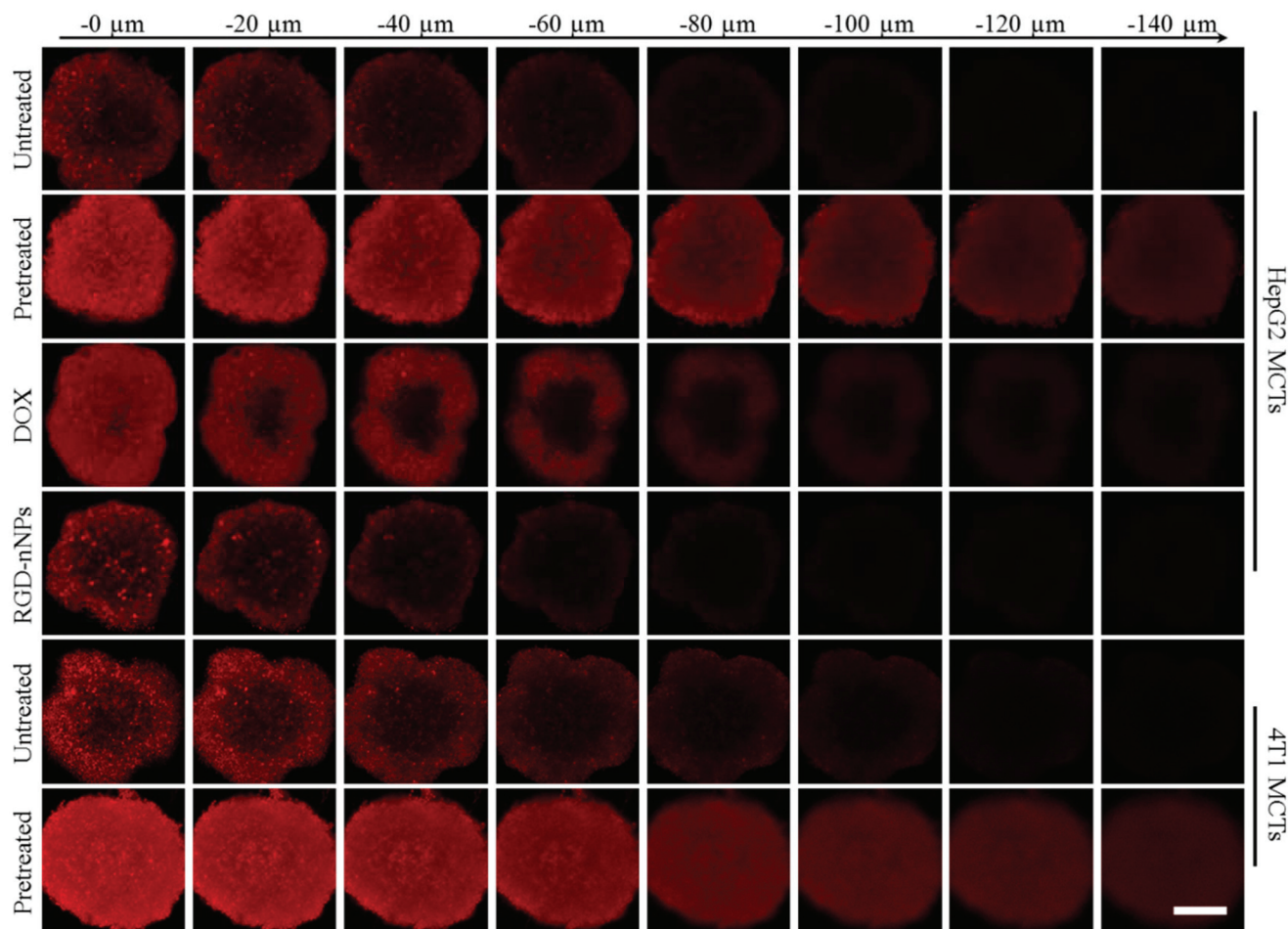


Fig. 6 The *in vitro* penetration of untreated RGD-sNPs, pretreated EGD-sNPs (pretreated with MMP9 for 12 h), free DOX and RGD-nNPs. From the top to the bottom: the *in vitro* penetration of DOX in HepG2 multicellular tumor spheroids (MCTs) after incubation with untreated RGD-sNPs, pretreated RGD-sNPs (pretreated MMP9 for 12 h), free DOX or RGD-nNPs for 8 h at a DOX concentration of $20 \mu\text{g mL}^{-1}$, and the *in vitro* penetration of untreated RGD-sNPs and pretreated RGD-sNPs (pretreated with MMP9 for 12 h) in 4T1 MCTs. Z-Stack images using CLSM were obtained from the surface to the middle of MCTs at intervals of $20 \mu\text{m}$ (scale bar for $200 \mu\text{m}$).

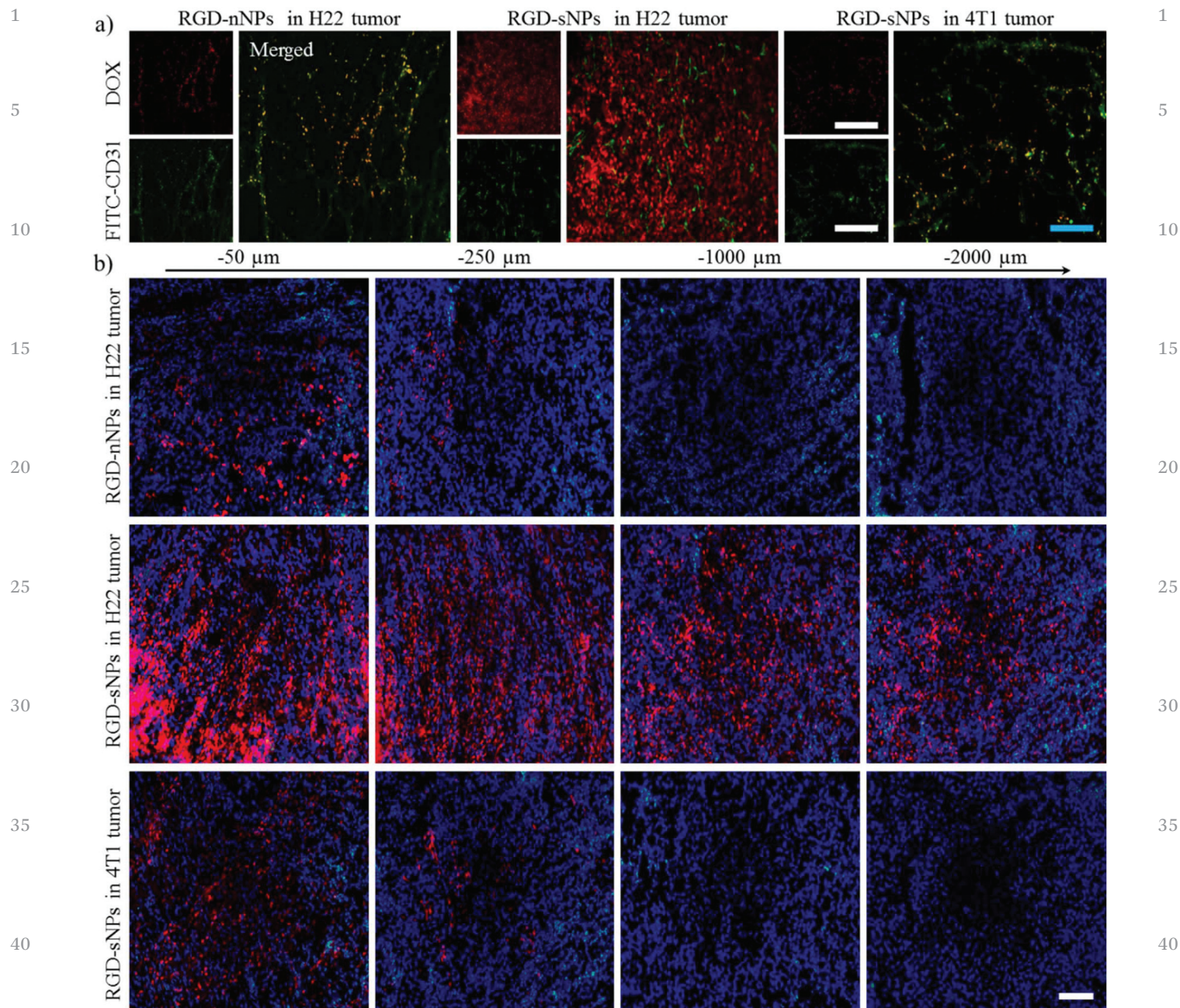


Fig. 7 The *in vivo* penetration of RGD-nNPs and RGD-sNPs. (a) *In vivo* penetration of DOX extravasated from blood vessels after intravenous injection of RGD-nNPs and RGD-sNPs at a DOX dose of 2.5 mg kg^{-1} for 48 h (white scale bar for $200 \mu\text{m}$ and blue scale bar for $100 \mu\text{m}$). (b) *In vivo* penetration of DOX in tumors after intratumoral administration of RGD-nNPs and RGD-sNPs at a DOX dose of 2.5 mg kg^{-1} for 48 h (scale bar for $100 \mu\text{m}$). The frozen sections of the tumors were sliced at different depths below the injection position.

group showed an explosive tumor progression with a tumor volume of $\sim 1867 \text{ mm}^3$ and an average tumor weight of 1.72 g at the 18th day post inoculation (Fig. 8a and d). NapFFK-RGD had a modest effect on tumor size reduction with an inhibition rate of 32.6% and a relative apoptotic rate of 15.7% (Fig. 8a and d). The following reasons may be responsible for its tumor inhibitory effect. On the one hand, NapFFK-RGD can form *in situ* self-assembled nanofibers (NapFFK-NFs) like AECM in the tumor microenvironment, which competitively

bound to receptors on the surface of cancer cells and subsequently inhibited downstream signal pathways that suppressed tumor growth.^{30,52} On the other hand, *in situ* formed NapFFK-NFs can cause apoptosis by the caspase-3 apoptotic pathway and promiscuous interaction with microtubules.^{13,47,48} RGD-nNPs and RGD-sNPs both showed significant inhibitory efficacy on H22 tumor growth, compared with free DOX. Importantly, RGD-sNPs possessed the most robust therapeutic effect with the highest tumor inhibition ratio (TIR)

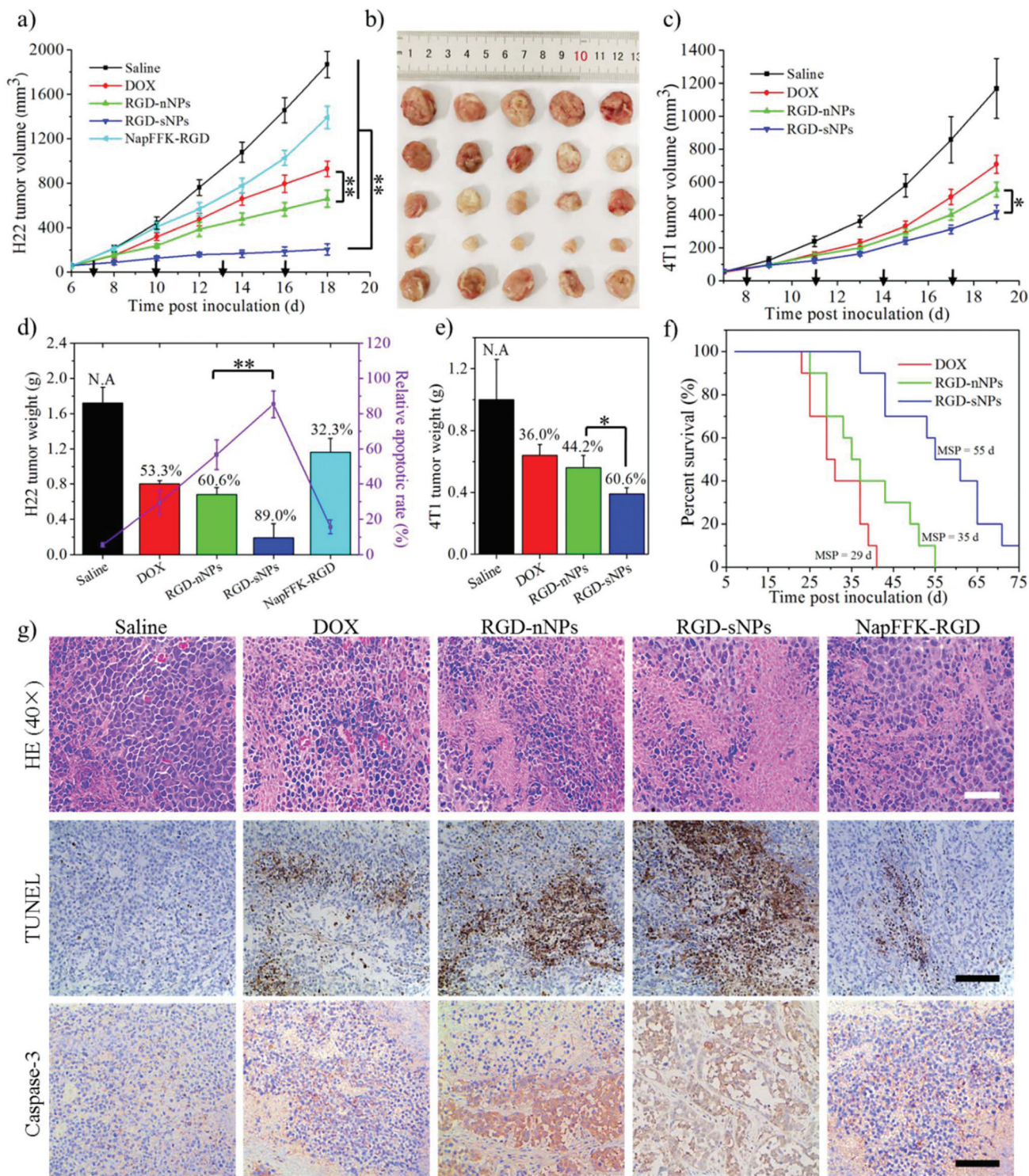


Fig. 8 Antitumor activity in the H22 sarcoma and 4T1 orthotopic tumor-bearing mice. (a) H22 tumor volume curves of mice treated with different formulations as above described ($n = 8$); the black arrows indicate drug administration, $**p < 0.01$. (b) The image of H22 tumors (5/8) resected after the last treatment; the other three were stored at $-80\text{ }^{\circ}\text{C}$ after excision. (c) 4T1 tumor volume curves of mice treated with different formulations as described above ($n = 8$); the black arrows indicate drug administration, $**p < 0.01$. (d) H22 tumor weight ($n = 8$) and relative apoptotic rate ($n = 3$); the numbers on the top of the columns represent the tumor inhibition rate (TIR), $**p < 0.01$. (e) 4T1 tumor weight and relative apoptotic rate; the numbers on the top of the columns represent the TIR, $**p < 0.01$. (f) The percent survival curve of H22 tumor-bearing mice; MSP is the abbreviation of median survival period ($n = 10$), $**p < 0.01$. (g) Immunohistochemical (IHC) analysis of tumors resected from H22-tumor bearing mice (the white scale bar is $50\text{ }\mu\text{m}$ and the black scale bar is $100\text{ }\mu\text{m}$).

of 89.0% (Fig. 8b and d). These results were also confirmed by IHC analysis including H&E staining, TUNEL and caspase-3 staining. RGD-sNPs displayed the maximum area necrosis and apoptosis, the largest population of TUNEL-positive cells, and the maximal upregulation of caspase-3 expression (Fig. 8g, Fig. S25†). Meanwhile, the survival rate of H22 tumor-bearing mice was investigated in an independent study. As shown in Fig. 8f, RGD-sNPs notably enhanced the survival time of H22 tumor-bearing mice, approximately doubling the median survival period (MSP) to 55 days, compared with free DOX (with a MSP of 29 days). In comparison with RGD-liposomes and RGD-modified nanogels, the overall survival rate of RGD-sNPs (80 days) was also extended 2- to 3-fold, indicating the superiority of the structure-transformable nanosystems in enhanced cancer therapy.^{13,55–57} The best tumor inhibitory effect of RGD-sNPs was largely attributed to their efficient accumulation, extended retention time, deep tissue penetration and enhanced cellular uptake, and the synergistic antitumor effect of NapFFK-NFs as proved above. The superior antitumor effect of RGD-sNPs was also determined in the 4T1 *in situ* cancer model, but the difference was much less marked compared with that of RGD-nNPs (Fig. 8c and e, Fig. S26 and 27†). When mice were treated with RGD-sNPs or RGD-nNPs, they showed a similar trend of tumor growth. This compromised antitumor efficacy of RGD-sNPs in the 4T1 orthotopic tumor model was

probably due to the relatively low level of MMP9, so that the nanoparticles cannot be transformed into a fibrous form to comprehensively improve the ‘CAPIR cascade’. Thus the results revealed that it is very necessary to confirm the stimuli level in tumors (such as MMP9, MMP2, GSH, pH or ROS) when applying this kind of stimuli-responsive drug release or structure-transformable nanosystem in cancer therapy.

As an indicator of systemic toxicity, the body weight of tumor-bearing mice was monitored during the whole experimental period. As shown in Fig. S28 and 29,† no notable loss of body weight was observed in the saline, RGD-sNPs, RGD-nNPs or NapFFK-RGD group. The body weight of mice treated with free DOX was significantly decreased, almost 20% body weight reduction during the overall treatment. The potential toxicity was further estimated by hematology and histopathology examination (Fig. 9, Fig. S30†). The hematological parameters of AST, ALT, BUN and CRE lacked any evidence of systemic toxicity. Besides, no remarkable pathological changes were found in the H&E staining of the liver, spleen, lung and kidney. However, noticeable myocardial necrosis was observed in the heart from mice treated with free DOX, and the plasma levels of LDH were simultaneously increased. All of the above experimental results demonstrated that RGD-sNPs can serve as a cancer nanomedicine with improved therapeutic efficacy and reduced side effects.

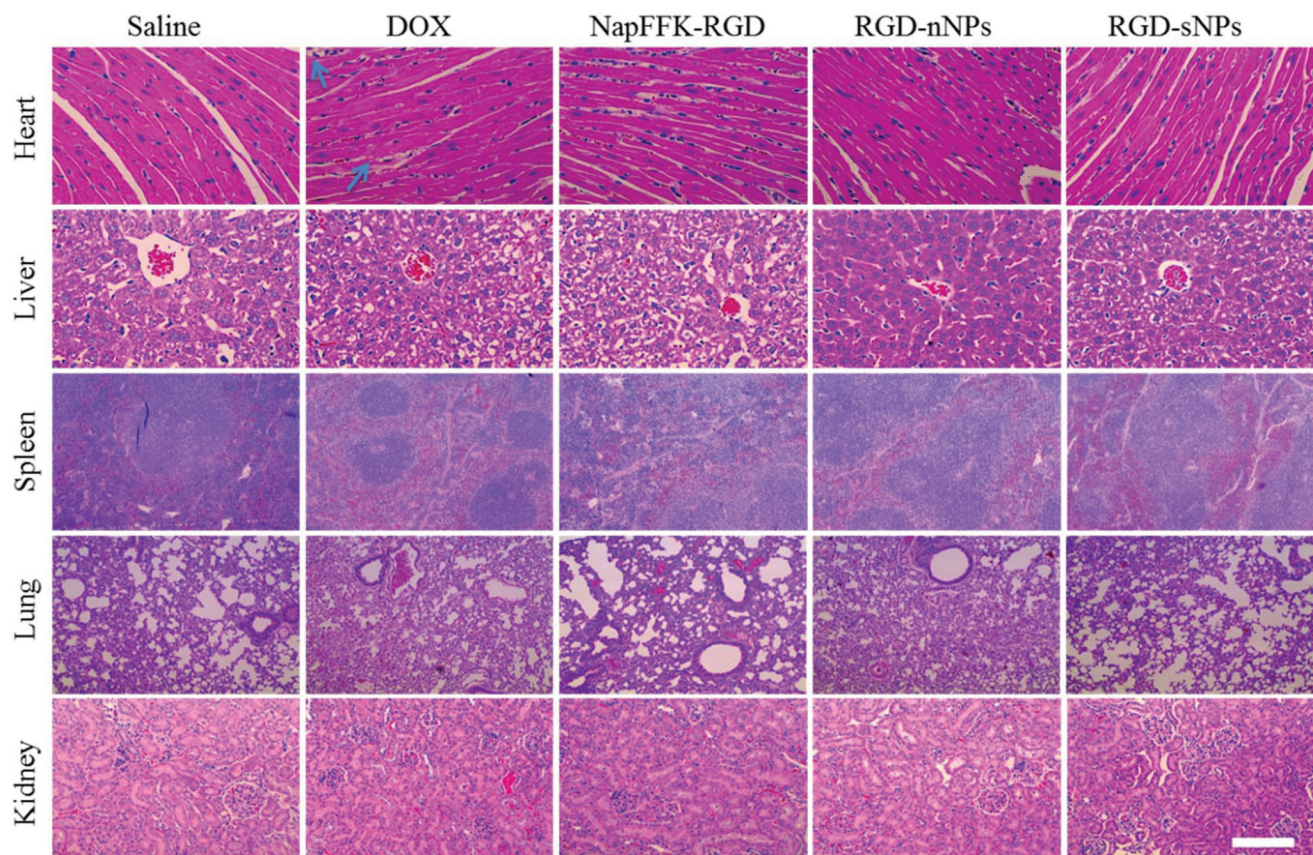


Fig. 9 The images of H&E staining for the heart, liver, spleen, lung and kidney resected from H22 tumor-bearing mice (blue arrows indicate myocardial necrosis, scale bar for 100 μ m).

4. Conclusions

In summary, we developed a pH/MMP9 dual-sensitive sequentially responsive and continuously structure-transformable nanoparticle assembled from a doxorubicin-conjugated peptide with a comprehensively improved 'CAPIR cascade' for eventually enhancing therapeutic efficacy. The prodrug can self-assemble into spheroidal nanoparticles (RGD-sNPs) in a neutral environment with a particle size of 45.7 ± 5.4 nm and a slightly negative charge of -8.44 ± 1.4 mV. By a combination of passive and active targeting mechanisms, RGD-sNPs achieved considerable accumulation in the tumor site. The gathered nanoparticles would be transformed into nanofibers with a suitable diameter and length due to overexpressed MMP9 in the tumor microenvironment, resulting in deep tissue penetration, prolonged retention time and enhanced cellular uptake. Under weakly acidic conditions, the pH-sensitive hydrazone bond was cleaved accompanied by rapid DOX release for the subsequent induction of cytotoxicity and apoptosis, and by the formation of cytotoxic fibers for a synergistic antitumor effect. Therefore, RGD-sNPs showed a superior antitumor effect and a notably enhanced survival time of H22 tumor-bearing mice (a MMP9 high expression tumor model). The compromised antitumor efficacy of RGD-sNPs in the 4T1 orthotopic tumor model also revealed that it is very necessary to confirm the stimuli level in tumors (such as MMP9, MMP2, GSH, pH or ROS) when applying this kind of stimuli-responsive drug release or structure-transformable nanosystem in cancer therapy.

Conflicts of interest

The authors declare no competing financial interest.

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